

11049 U.S. PTO  
08/08/01

11046 U.S. PTO  
09/25/00  
10/08/01

08-10-01

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No. : 45550/DBP/Y35  
Inventor(s) : Keiko Matsubara; Toshiaki Tsuno; and Sang-Young Yoon  
Title : NEGATIVE ELECTRODE FOR LITHIUM RECHARGEABLE  
BATTERIES AND LITHIUM RECHARGEABLE BATTERIES  
Express Mail Label No. : EL903022203US

**ADDRESS TO:** Assistant Commissioner for Patents

Box Patent Application

Washington, D.C. 20231

Date: August 8, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_ This application is a \_\_\_ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

\_\_\_ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),  
to provisional Application No. .

3. **APPLICATION COMPRISED OF**

**Specification**

16 Specification, claims and Abstract (total pages)

**Drawings**

1 Sheets of formal drawing(s) (FIGS. 1 to 1)

**Declaration and Power of Attorney**

☒ Newly executed

\_\_\_ Unexecuted declaration

\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_ Computer Readable Copy

\_\_\_ Paper Copy (identical to computer copy)

\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL  
ENTITY

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**7. ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
- ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☒ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

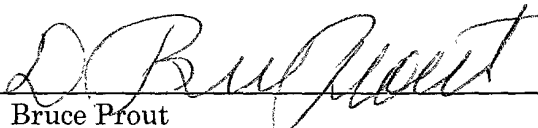
**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: August 8, 2001**

Docket No. : 45550/DBP/Y35  
Inventor(s) : Keiko Matsubara; Toshiaki Tsuno; and Sang-Young Yoon  
Title : NEGATIVE ELECTRODE FOR LITHIUM RECHARGEABLE BATTERIES  
AND LITHIUM RECHARGEABLE BATTERIES Duplicate     

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	5 - 20	0	x \$9.00	0 x \$18.00	\$0.00
INDEPENDENT CLAIMS	2 - 3	0	x \$40.00	0 x \$80.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	\$0.00
BASIC FEE			\$355.00	\$710.00	\$710.00
TOTAL FILING FEE					\$710.00
List Independent Claims: 1, and 5					

**METHOD OF PAYMENT**

- ☒ Payment Enclosed: Check for \$710.00
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By *D. Bruce Prout*  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900